# Roman Coronagraph Instrument Reference Information

August 2022

Roman Coronagraph Science and Engineering Project Teams Jet Propulsion Laboratory, California Institute of Technology

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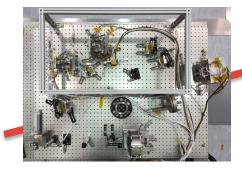


# Critical Technology Demonstrations



The Roman Coronagraph is an advanced technology demonstrator for future missions that will directly image Earth-like exoplanets.

Ultra-Precise Wavefront Sensing & Control



Large-format Deformable Mirrors

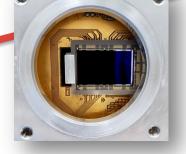


High-contrast Coronagraph Masks





Ultra-low noise photon counting EMCCD Detectors



Data Post-Processing



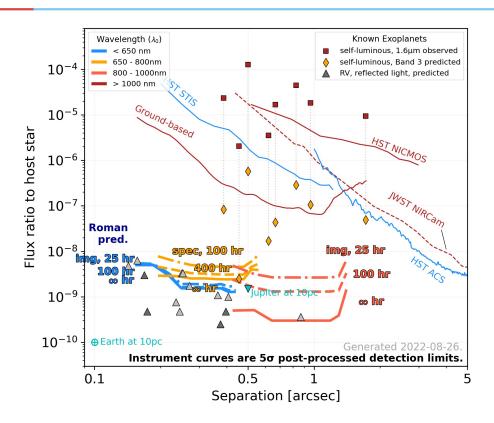


Bertrand Mennesson (JPL)

The Roman Coronagraph will premiere in space the technologies needed by future missions to image and characterize rocky planets in the habitable zones of nearby stars. By demonstrating these tools in a system with end-to-end, scientific observing operations, NASA will reduce the cost and risk of a potential future flagship mission.

# Required & Predicted Coronagraph Performance in the Context of Existing Astronomy Capabilities





Instrument performance requirements and current-best-estimated performance are based on laboratory demonstrations and model predictions, as of August 26, 2022. Laboratory demonstrations and model refinements are ongoing.

See V. Bailey, <a href="https://github.com/nasavbailey/DI-flux-ratio-plot">https://github.com/nasavbailey/DI-flux-ratio-plot</a> for a detailed description of this plot.





- Team that will use Roman's Coronagraph Instrument to meet its objectives associated with an in-space technology demonstration of a high-contrast coronagraph.
- Opportunity for proposers to work with the coronagraph instrument team to plan and execute its technology demonstration observations.
- Proposals accepted only from small groups. PI of each selected investigation, plus coronagraph project & international partner representatives, form the Community Participation Program Team.
- Certain focus areas will be identified in the solicitation (things like target/observation prep work; simulations; operation preparation; data analysis tools). Proposers can choose from the list, and can include other areas.

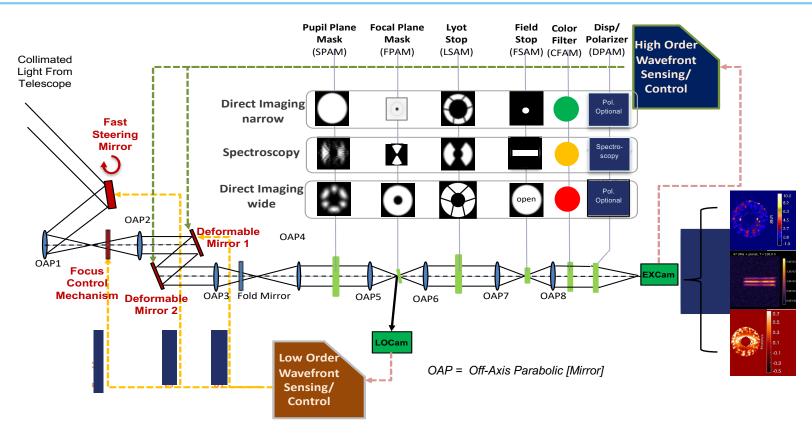
# Potential Technology Demonstration Phase Observations



- Self luminous planet image and spectra
- Reflected light planet image and spectrum
- Bright debris disk polarimetry
- Faint debris disk detection

# Coronagraph Architecture

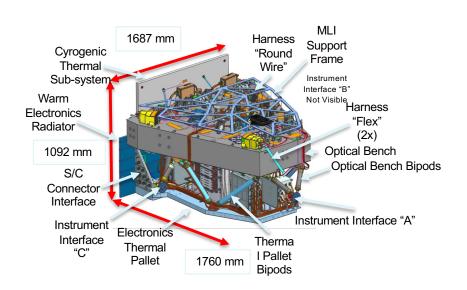




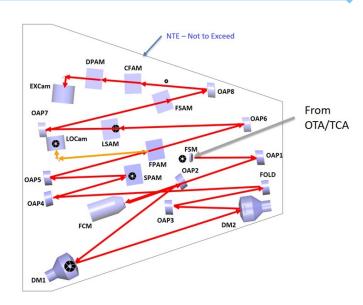
- > Three observation modes implemented with three different sets of masks/filters
- > Share the same optical beam train, with two wavefront control loops to achieve high contrast (better than 1E-8)

# Coronagraph Architecture





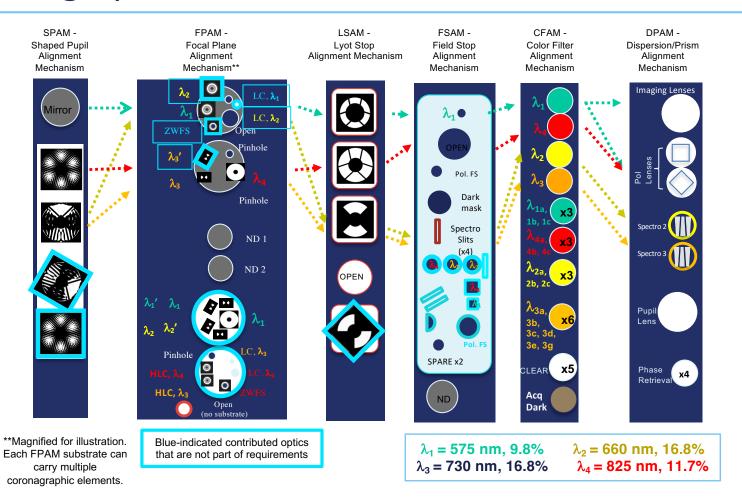
- In the Roman Payload, the Coronagraph Instrument mounts onto the Instrument Carrier (IC) shared with the Wide Field Instrument. The Tertiary Collimator Assembly (TCA; not shown) is the optical interface between the telescope and CGI, and relays an exit pupil onto the Fast Steering Mirror (FSM).
- Phase C design as of November 2020.



- The first deformable mirror, DM 1, is positioned at a relay pupil
  following the FSM. DM 2 is positioned 1 meter away to enable
  correction of amplitude errors and phase errors originating from out-ofpupil surfaces.
- Both coronagraph mask types, the Hybrid Lyot and Shaped Pupil Coronagraphs (HLC and SPC), are implemented on the same optical beam train and selected by changing masks at the planes labeled SPAM, FPAM, LSAM, and FSAM.
- Observing mode is selected by mechanisms after the Lyot stop.

# **Coronagraph Elements**









Band	$\lambda_{center}$	FWHM	BW	Mode	FOV radius	FOV Coverage		Coronagraph Mask Type	Support Status
1	573.8 nm	56.5 nm	9.8%	Narrow FOV Imaging	0.14" – 0.45"	360°	Y	Hybrid Lyot	Required (TTR5)
2	659.4 nm*	110.9 nm	16.8%	Slit + R~50 Prism Spectroscopy	0.17" – 0.52"	2 x 65°	-	Shaped Pupil	Unsupported
3	729.3 nm	122.3 nm	16.8%	Slit + R~50 Prism Spectroscopy	0.18" – 0.55"	2 x 65°	-	Shaped Pupil	Best effort
4	825.5 nm	96.8 nm	11.7%	"Wide" FOV Imaging	0.45" — 1.4"	360°	Υ	Shaped Pupil	Best effort

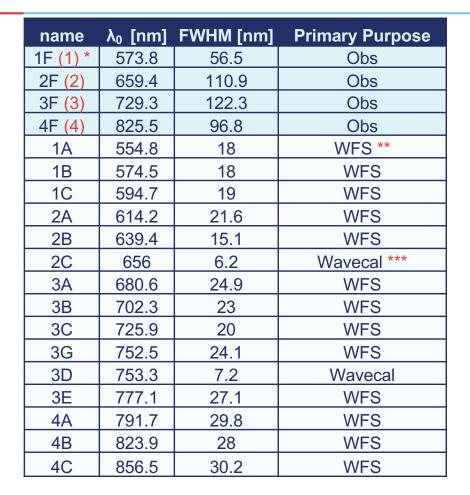
### All masks have been fabricated.

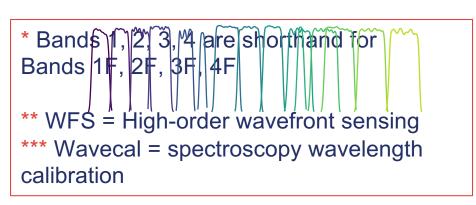
<sup>\*</sup> **660 nm spectroscopy** is the lowest priority for fabrication, implementation, and on-sky testing. If resources are limited, this mode may not be exercised during the Technology Demonstration Phase.

<sup>&</sup>quot;Best effort" (Bands 2, 3, 4) modes will not be end-to-end performance tested prior to launch. They will be tested at component and assembly level (eg: are masks aligned in their mounting plates?). Prioritize hardware and fixed firmware over software that could be completed after CGI delivery. Most key hardware for the 'best effort' modes is in hand already. Software development is prioritizing Band 1 + HLC. It is possible that there will not be time to complete all software for one or more of the "best effort" modes prior to CGI delivery to payload integration and test, though nothing other than resources would preclude completing later.

### **Filters**

	Band 1	Band 2 R~50 Spectroscopy	,	Band 4 Imaging & Polarimetry	
	Imaging & Polarimetry	F	Band 3 ~50 Spectroscopy		_
100-	h	Mary Mary	of many		
75 - 50 -					

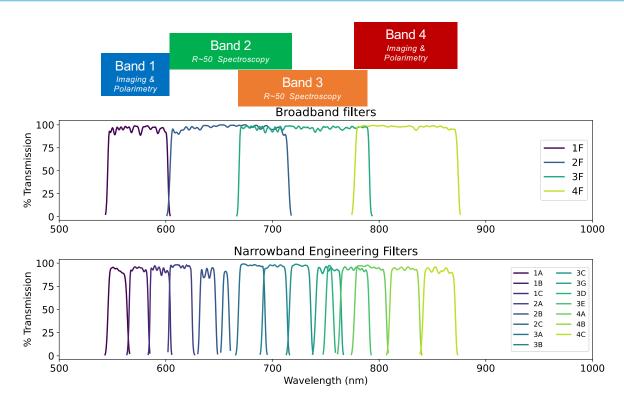




https://roman.ipac.caltech.edu/sims/Param\_db.html











- High-Contrast masks are designed to operate at a specific wavelength (Band 1, 2, 3, or 4).
  - In principle, can be used with sub-bands of primary band (e.g., SPC bowtie for Band 2 would also work for Band 2A, 2B, 2C, 3A, 3B, because they are all subsets of Band 2).
- Combinations other than the supported ones (slides 8-9) may not be commissioned during the Tech Demo Phase





- Band 2 slit spectroscopy is now an unsupported observing mode
- Additional masks contributed by NASA's Exoplanet Exploration Program to fill empty slots in mechanisms.
  - Bands 2 and 3 spectroscopy with 60° rotated slit
  - Bands 1 and 4 Wide FOV with grid dot mask for multi-star WFC
  - Bands 2, 3, 4 HLC
  - "low contrast" classical Lyot stops with large inner working angles for "outside the dark hole" observations
  - Transmissive Zernike WFS dimples for focal plane WFS demo
- Caveat: No funding for on-sky commissioning identified at this time. Analogous to HST/STIS Bar5.
- For more info: see Riggs+ SPIE O&P 2021

# Target constraints for coronagraphic observations



### **Reference Star**

V < 3 diameter

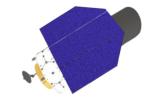
<~ 1 mas angular diameter Hot O/B WFSC & PSF reference





V < 5 (maybe V<7; TBD)

< 2 mas strongly preferred



All stars must be **single**Nothing equally bright within ~45";
increasingly stringent at smaller separations

# Ref Star Target Star Target Star - roll

Target vs Reference should have small delta (spacecraft) pitch for better thermal stability

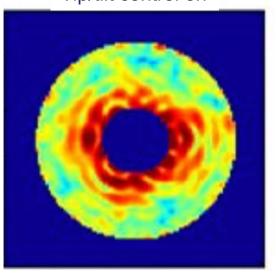
### See also these presentations:

- "Working with Simulated Datasets" (Ygouf)
- "Overview of Observing Scenarios and Their Simulated Datasets" (Krist)
- "Target vetting" (Bailey)

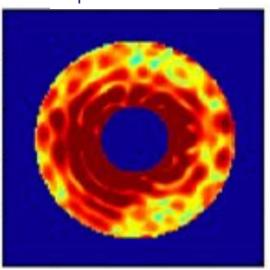
# Residual tip/tilt jitter impacts contrast, sets V<5 host star requirement







Tip/tilt control off

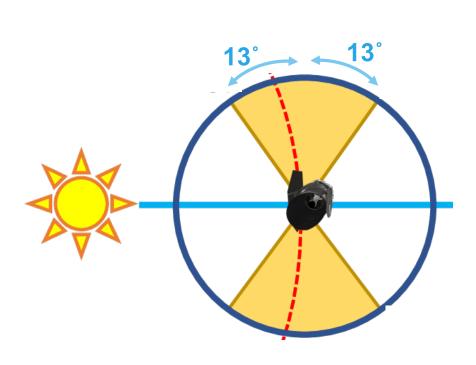


Probably graceful degradation at V>5, but TBD. Project is using V~7 cutoff for coronagraphic target lists. See backup slide about faint star and non-coronagraphic pointing/jitter performance

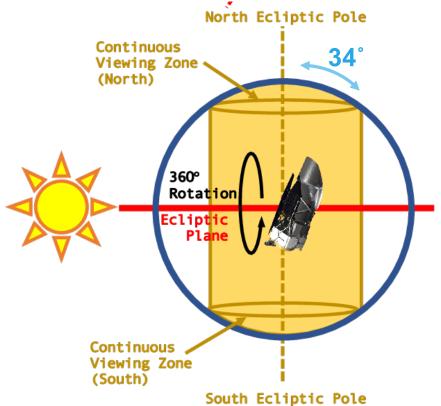
Shi, F., et al., SPIE, Vol 10698, p 106982O-5 2018; flight-like jitter tests on V=5 "star" Note: feed-forward will NOT be implemented in flight (ie: tip/tilt control will be feedback only)

# Pointing constraints: ±34° pitch, ±13° roll vs. sun, 22° Earth avoidance; 11° Moon avoidance





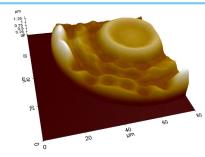
Telescope slew rate for long slews is ~0.05dgr/sec



See Hildebrand Rafels Talk

# Hybrid Lyot Coronagraph

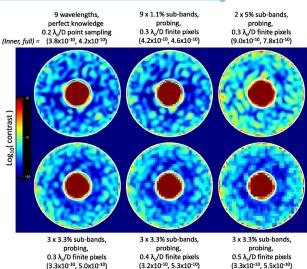
- The HLC provides a full 360° high contrast field of view.
- Focal plane occulting mask is a circular,  $r = 2.8 \, \lambda_c/D$  partially-transmissive nickel disc overlaid with a PMGI dielectric layer with a radially and azimuthally varying thickness profile.
- The HLC design incorporates a numerically optimized, static actuator pattern applied to both deformable mirrors.
- Lyot stop is an annular mask that blocks the telescope pupil edges and struts.



HLC occulting mask. AFM surface height measurement of an occulting mask fabricated by the JPL Micro Devices Lab. Recent design refinements include azimuthal ripples in thickness of the dielectric, which extends across the field of view.



HLC Lyot stop. Diagram of Lyot stop model: white represents the transmitted region; black represents the telescope pupil; gray represents the region blocked by the stop in addition to the telescope pupil.

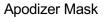


Simulated HLC PSF including aberrations and high-order wavefront control operations, illustrating the annular dark zone between 3 and 9  $\lambda_{\text{o}}$ /D. Each sub-panel represents a different scenario for DM probe wavelength resolution and detector sampling.

- J. Trauger, D. Moody, et al., JATIS Vol 2, id. 011013 (2016) - <a href="https://doi.org/10.1117/1.JATIS.2.1.011013">https://doi.org/10.1117/1.JATIS.2.1.011013</a>
- J. Krist, et al., Proc SPIE Vol 10400, id. 1040004. (2017) - http://dx.doi.org/10.1117/12.2274792
- K. Balasubramanian, et al., Proc SPIE Vol 10400, id. (2017) - https://doi.org/10.1117/12.2274059

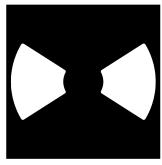


- The shaped pupil apodizer is a reflective mask on a silicon substrate with aluminum regions for reflection and black silicon regions for absorption.
- The hard-edged occulting mask has either a bowtie-shaped opening for characterization (spectroscopy) mode or an annular aperture for debris disk imaging.
- The SPC Spectroscopy designed in 2017 produces a 2 x 65° bowtie dark zone from  $3.0 9.1 \lambda_c/D$  over a 15% bandpass.
- The SPC Wide Field of View design produces a 360° dark zone from 5.9 20.1  $\lambda_c/D$  in a 10% bandpass.





Focal Plane Mask

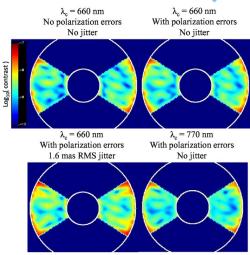


Lyot Stop



Flight mask designs for the spectroscopy shaped pupil coronagraph.

Design by A.J. E. Riggs (JPL).



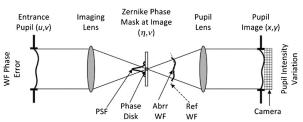
Spectroscopy SPC (2017 design) simulations at  $\lambda_c$  = 660 and 770 nm including system aberrations, pointing jitter, and wavefront control operations. The circles correspond to r = 3 and 9  $\lambda_c/D$ .

- N. T. Zimmerman, et al., JATIS Vol 2 id. 011012 (2016) http://dx.doi.org/10.1117/1.JATIS.2.1.011012
- K. Balasubramanian, et al., JATIS Vol2 id. 011005 (2015) https://doi.org/10.1117/1.JATIS.2.1.011005
- A. J. E. Riggs et al., N. T. Zimmerman, et al., Proc SPIE Vol 10400 (2017) - <a href="http://dx.doi.org/10.1117/12.2274437">http://dx.doi.org/10.1117/12.2274437</a>
- J. Krist, et al., Proc SPIE Vol 10400, id. 1040004. (2017) http://dx.doi.org/10.1117/12.2274792

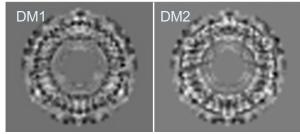
### **Wavefront Control**



- The baseline Roman Coronagraph design includes four active optics to control the wavefront: a fast steering mirror (FSM), a flat focusing mirror (FCM), and two deformable mirrors (DM 1 and DM 2) with 48x48 actuators each.
- High-order wavefront control is implemented by the Electric Field Conjugation (EFC) method. The EFC loop operates on science focal plane data by measuring the interaction of aberrated on-axis starlight with a sequence of DM actuator probes.
- Pointing, focus, and low-order wavefront drifts are sensed by the **Low-Order Wavefront Sensing and Control** (LOWFS/C) subsystem using the Zernike phase-contrast technique on starlight rejected from the occulting mask. Corrections to Zernike modes Z5—Z11 are applied to DM 1.
- The FSM control loop corrects line-of-sight pointing jitter to below 0.95 milliarcsec.



Conceptual diagram of the Zernike phase contrast wavefront sensor (F. Shi, et al., 2016).

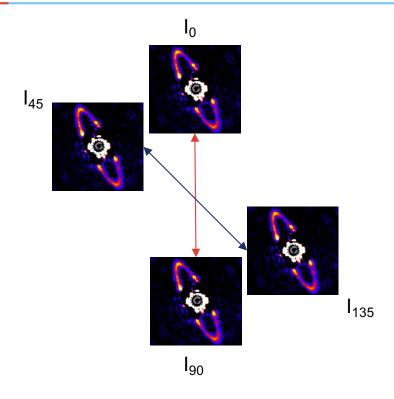


Optimized DM surfaces applied in HLC data simulations.

- T. Groff, A. J. E. Riggs, et al., JATIS Vol 2, id 011009 (2015) https://doi.org/10.1117/1.JATIS.2.1.011009
- F. Shi, et al., JATIS Vol 2, id 011021 (2016) https://doi.org/10.1117/1.JATIS.2.1.011021
- J. Krist, et al., JATIS Vol 2, id 011003 (2015) -https://doi.org/10.1117/1.JATIS.2.1.011003

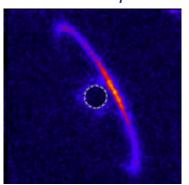
# Wollaston Prism Polarimetry (Band 1 or 4 imaging)





1 pair at a time Pairs separated by 7.5" on chip

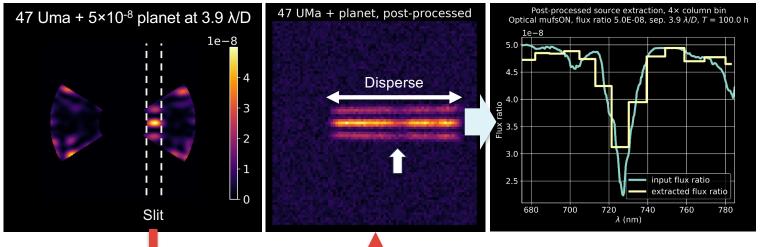
Linear polarized fraction (LPF) goal: RMSE < 3% per resel



LPF = sqrt 
$$\{(I_0 - I_{90})^2 + \{(I_{45} - I_{135})^2\} / I_{tot}$$



# R~50 Spectroscopy w/ Slit Spectrograph (Band 3 or 2)

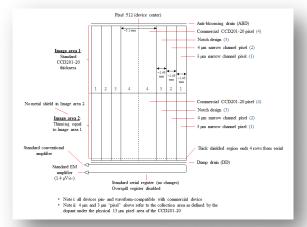


- Slit is deployed to planet position
- Prism disperses the Shaped Pupil PSF
- Spectrum is extracted from image after postprocessing (Reference Star Subtraction)
- Variable resolution. R=50 at bandpass center, ±~10

### **EMCCD Detectors**

- Electron Multiplying CCD (EMCCD) technology is advantageous for a coronagraph application.
  - Programmable gain provides wide dynamic range suitable for bright scenes expected during acquisition and coronagraph configuration, while photon counting capability can be used for faint light observations with zero read noise.
- EMCCD detectors are baselined for direct imaging, spectroscopy and wavefront sensing applications in CGI.
  - Subarray readout suitable for a wavefront sensor application enables 1000 frame-sec<sup>-1</sup> operation to accommodate tip-tilt sensing.
- Work at JPL is focused on low flux characterization with radiation damaged sensors.
  - JPL has invested in modifications to the commercial version of the EMCCD that are expected to improve margins against radiation damage in a flight environment.
- JPL's EMCCD test lab has measured a low flux threshold of 0.002 c-psf<sup>-1</sup>-sec<sup>-1</sup>, equivalent to a 32.4 magnitude star through a 2.4m telescope at 500 nm with 10% bandwidth.
  - Devices irradiated to 5 years equivalent life at L2 meet coronagraph technology requirements.

### Radiation-hardened EMCCDs are in Production





### Commercial EMCCD

Flight Prototype EMCCD

### References

 L. Harding, R. Demers, et al., JATIS Vol 2, id 011007 (2016)

# **Data Post-processing**

- Investigations on algorithms for CGI data postprocessing have encompassed both end-to-end data simulations and analysis of laboratory testbed data.
- Reference differential imaging (RDI) trials have probed a range of wavefront stability and noise scenarios. Simulations with spacecraft rolls have also enabled tests of Angular differential imaging (ADI).

### 1. Post-Processing of OS9 Simulated HLC-Band 1

From raw to photon-counted data

Classical PSF subtraction

Reference first frame

Target Roll -11° first frame

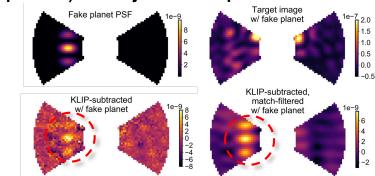
Target Roll -11° first frame

Target Roll -11°

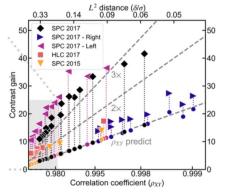
Target Roll -

Ygouf et al., 2020

# 2. Laboratory SPC bow-tie frame recorded at HCIT in a static environment (essentially noiseless other than speckles) with injected fake planet at 10<sup>-8</sup> flux ratio



Example application of RDI to SPC data from HCIT, demonstrating the matched-filtered recovery of a fake point source inserted into one image (circled in red)



Left: Post-processing contrast gain plotted against reference library correlation for five datasets. Above a certain correlation coefficient, the post-processing gain is comparable to the gain from classical PSF subtraction.

### References

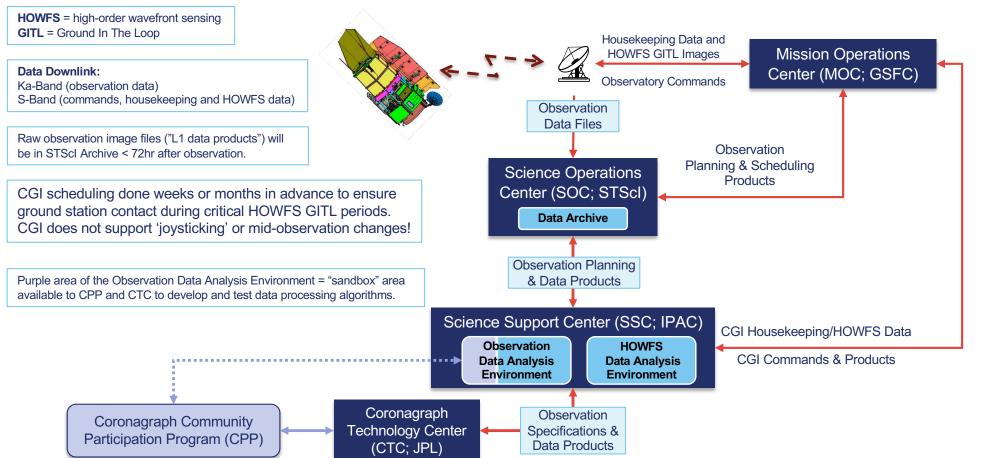
 M. Ygouf, N. Zimmerman, L. Pueyo, R. Soummer, et al., Proc. SPIE Vol 9904 (2016) -

http://dx.doi.org/10.1117/12.2231581

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# **Ground System Architecture**

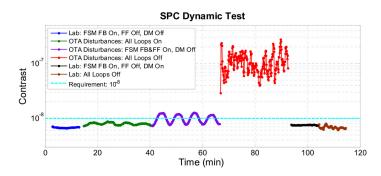




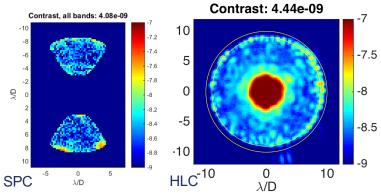




### Results from the Occulting Mask Coronagraph (OMC) Testbed at JPL HCIT



Dynamic contrast demonstration with a Low Order Wavefront Sensing and Control (LOWFS/C) system integrated on the Occulting Mask Coronagraph testbed. When line-of-sight disturbances and low order wavefront drift (slow varying focus) are introduced on the testbed, the LOWFS senses the pointing error and wavefront drift and corrects them by commanding a fast steering mirror and one of the DMs. Demonstrations with both the SPC and HLC masks surpassed their 10-8 contrast goal (F. Shi, et al., Proc SPIE Vol 10400, 2017).



Normalized intensity maps measured on the OMC testbed in broadband (10 %) light for SPC (left) and HLC. The total contrast between  $3-9~\lambda/D$  is listed on top of each figure.

- F. Shi, E. Cady, et al., Proc. SPIE Vol 10400 (2017) http://dx.doi.org/10.1117/12.2274887
- E. Cady, K. Balasubramanian, et al., Proc. SPIE Vol 10400 (2017) http://dx.doi.org/10.1117/12.2272834
- B.-J. Seo, E. Cady, et al., Proc SPIE Vol 10400, 10.1117/12.2274687 (2017) http://dx.doi.org/10.1117/12.2274687
- F. Shi, et al., Proc. SPIE Vol 10698 (2018) https://doi.org/10.1117/12.2312746
- B.-J. Seo, et al, Proc. SPIE Vol 10698 (2018) https://doi.org/10.1117/12.2314358
- D. Marx, et al, Proc. SPIE Vol 10698 (2018) https://doi.org/10.1117/12.2312602
- F. Shi, et al., Proc. SPIE Vol 11117 (2019) https://doi.org/10.1117/12.2530486



# **Simulations Resources**

Name	Author	Description	Format	URL	References
WFIRST Coronagraph Instrument (CGI) Imaging Simulations	John Krist (JPL)	Time series CGI imaging data simulations produced by JPL, incorporating observatory STOP models and wavefront control.	FITS files	https://roman.ipac.caltech.edu/sims/Coronagraph public images.html	J. Krist, et al., JATIS Vol 2, id. 011003 (2016)  J. Krist, et al., Proc SPIE Vol 10400, id. 1040004. (2017)
EXOSIMS	Dmitry Savransky (Cornell U.)	Exoplanet Open-Source Imaging Mission Simulator, with dedicated configurations for simulating CGI surveys and integration times.	web browser interface	https://roman.ipac.caltech.edu/sims/tools/exosimsCGI/exosimsCGI.html	D. Savransky & D. Garrett, JATIS Vol 2, id. 011006 (2016)
			Python source code	https://github.com/dsavransky /EXOSIMS	C. Delacroix, D. Savransky, et al., Proc SPIE Vol 9911, id. 991119 (2016)
WebbPSF	Marshall Perrin (STScI)	Simulated Point Spread Functions for WFIRST WFI and CGI (static)	Python source code, with tutorials	https://github.com/mperrin/webbpsf	M. Perrin, et al., Proc SPIE Vol 8442, article id. 84423D (2012) M. Perrin, et al., Proc SPIE Vol 9143, id. 91433X (2014)



# **Simulations Resources**

Name	Author	Description	Format	URL
Fast Linearized Coronagraph Optimizer (FALCO)	AJ Riggs (JPL)	wavefront correction simulator, DM- integrated coronagraph design, testbed operation. CGI simulations	MATLAB and Python3 source codes with Wiki	https://github.com/ajeldora do/falco-matlab  https://github.com/ajeldora do/falco-python  https://github.com/ajeldora do/falco-matlab/wiki
FALCO + WFIRST CGI PROPER model	AJ Riggs (JPL)	Run end-to-end HOWFSC with the official Phase B model of the WFIRST CGI; Produces: CGI dark hole images and performance tables	MATLAB	https://github.com/ajeldora do/falco- matlab/wiki/01b%29- Examples-using-the- WFIRST-CGI-PROPER- model

# Reference Documents

SPIE Proceedings Vol. 10698, Space Telescopes and Instrumentation 2018: Optical, Infrared, and Millimeter Wave,

Community White Papers submitted to the NAS Exoplanet Science

Strategy Committee, co-chairs D. Charbonneau & S. Gaudi.

Among the CGI-related papers are: Kasdin et al., Bailey et al., Mennesson et al., Marley et al., B. Crill et al., and others.

The Wide Field Infrared Survey Telescope: 100 Hubbles for the

2020s, Akeson et al., 2019, Astro2020 white papers

WFIRST I, II, III

Caveat: performance predictions have degraded over time: you should sanity check older papers' conclusions

Reference Documents against the latest contrast curves!						
Reference	URL	Year				
Wide-Field Infrared Survey Telescope-Astrophysics Focused Telescope Assets (WFIRST-AFTA) 2015 Report by the Science Definition Team (SDT) and WFIRST Study Office	https://roman.gsfc.nasa.gov/science/sdt_public/WFIRST-AFTA_SDT_Report_150310_Final.pdf	2015				
Journal of Astronomical Telescopes Instruments and Systems, Vol. 2, No. 1, Special Section on WFIRST-AFTA Coronagraphs, eds. Olivier Guyon and Motohide Tamura	https://www.spiedigitallibrary.org/journals/Journal-of- Astronomical-Telescopes-Instruments-and-Systems/volume- 2/issue-01#Editorial	2016				
SPIE Proceedings Vol. 10400, Techniques and Instrumentation for Detection of Exoplanets VIII, ed. Stuart Shaklan	https://www.spiedigitallibrary.org/conference-proceedings-of-spie/10400	2017				
SPIE Proceedings Vol. 10698, Space Telescopes and	https://www.spiedigitallibrary.org/conference-proceedings-of-	2018				

Caveat: performance predictions have degraded over Reference Documents time; you should sanity check older papers' conclusions against the latest contrast curves!



Reference	URL	Year
SPIE proceedings: 2018 Vol 10698; 2019 Vol 11117; 2020 Vol 11443; 2021 Vol 11823	https://spie.org/publications/conference-proceedings	2018-2022
Absolute Flux Calibrations for the Nancy Grace Roman Space Telescope Coronagraph Instrument	https://ui.adsabs.harvard.edu/abs/2022arXiv220703607P/abstract	2022
Flatfield Calibrations with Astrophysical Sources for the Nancy Grace Roman Space Telescope's Coronagraph Instrument	https://ui.adsabs.harvard.edu/abs/2022arXiv220204815M/abstract	2022
Nancy Grace Roman Space Telescope Coronagraph Instrument Observation Calibration Plan	https://ui.adsabs.harvard.edu/abs/2022arXiv220205923Z/abs tract	2022
Flight designs and pupil error mitigation for the bowtie shaped pupil coronagraph on the Nancy Grace Roman Space Telescope	https://ui.adsabs.harvard.edu/abs/2022JATIS8b5003G/abst ract	2022

### Web Resources



- JPL Roman Coronagraph Website
  - https://www.jpl.nasa.gov/missions/the-nancy-grace-roman-space-telescope/
  - Coronagraph Overview and Capability
- Goddard Roman Website
  - https://roman.gsfc.nasa.gov
  - Mission Overview
  - Science Overview
  - Resources (images and multimedia, documents, newsroom, and press releases)
- Roman at IPAC
  - https://roman.ipac.caltech.edu
  - Science Overview
  - Documentation
  - Simulations (both WFI and the Coronagraph)
  - Community Engagement (including Workshops, Meetings and Talks and Preparatory Science)
    - Roman Virtual Lecture Series <a href="https://roman.ipac.caltech.edu/Lectures.html">https://roman.ipac.caltech.edu/Lectures.html</a>
  - Publications